

UNITED STATES PATENT APPLICATION

FOR

CRYSTAL-CORE FIBER MODE CONVERTER FOR LOW-LOSS  
POLARIZATION-INSENSITIVE PLANAR LIGHTWAVE CIRCUITS

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Attorney's Docket No. 42390.P12640

"Express Mail" mailing label number EL431882641US

Date of Deposit: December 19, 2001

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# CRYSTAL-CORE FIBER MODE CONVERTER FOR LOW-LOSS POLARIZATION-INSENSITIVE PLANAR LIGHTWAVE CIRCUITS

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## BACKGROUND OF THE INVENTION

**1. Field of the Invention**

The described invention relates to the field of optical systems. In particular, the invention relates to creating low-loss polarization-insensitive planar lightwave circuits.

## 2. Description of Related Art

Planar lightwave circuits (PLCs) are systems that include, but are not limited to, waveguides, light sources, and/or detectors in the plane of the circuit. PLCs often have been based on silica-on-silicon (SOS) technology.

Figure 1 is a schematic diagram that shows a typical SOS architecture. A layer of lower cladding 12 is typically deposited onto a substrate 10. A waveguide core layer 20 is deposited over the lower cladding 12, and an upper cladding 24 is deposited over the waveguide core layer 20. In one example, the substrate 10 may be silicon, the lower cladding 12 may be SiO<sub>2</sub>, the core layer 20 may be SiO<sub>2</sub> doped with Germanium, and the upper cladding 24 may be a borophosphosilicate glass (BPSG).

One issue with planar lightwave circuits, and SOS-based devices in particular, is the birefringence in the waveguides. Birefringence may arise due to

thin-film stress and makes these devices polarization sensitive. Thus, the output of the PLC may vary dependent upon the polarization of the input.

Figure 2 is a schematic diagram that shows a prior art method of reducing the polarization sensitivity of a planar lightwave circuit, such as that described in 5 *Polarization Mode Converter with Polyimide Half Waveplate in Silica-Based Planar Lightwave Circuits*, IEEE Photonics Technology Letter, Vol. 6, No 5, May 1994 by Inoue, Ohmori, Kawachi, Ando, Swada, and Takahashi. A groove 30 is cut into the middle of a planar lightwave circuit 32, and a rectangular half waveplate is inserted into the groove. The half waveplate 40 is angled at a 45-degree angle with the plane 10 of the substrate of the planar lightwave circuit. An optical input 50 traverses the first half of the PLC, and is mode converted by the half waveplate before traversing the second half of the PLC. This results in an output 52 of the PLC that is polarization insensitive.

However, due to lack of lateral optical confinement in the half waveplate, the 15 mode profile of the optical signal expands and results in excess loss in the device. To minimize the loss, an extremely thin half waveplate is used. In one case, the half waveplate is approximately 15 microns thick. However, the reduced thickness of the half waveplate is limited due to fragility, thickness uniformity, and handling difficulties.

**BRIEF DESCRIPTION OF THE DRAWINGS**

Figure 1 is a schematic diagram that shows a typical SOS architecture.

Figure 2 is a schematic diagram that shows a prior art method of reducing the  
5 polarization sensitivity of a planar lightwave circuit.

Figure 3 is a diagram that shows one embodiment of a top view of a PLC  
having a CCF inserted into a waveguide.

Figure 4 is a schematic diagram showing the CCF bonded to a V-groove  
substrate.

10 Figure 5 is a schematic diagram showing a plurality of CCFs inserted into a  
PLC having multiple waveguides, such as an array waveguide grating.

## DETAILED DESCRIPTION

A method and apparatus for reducing the polarization sensitivity of a planar lightwave circuit is disclosed. A planar lightwave circuit comprises a first portion of a waveguide, a second portion of a waveguide, and a segment of crystal core fiber (CCF) coupling the first portion to the second portion of the waveguide. The CCF helps to reduce the polarization sensitivity of the waveguide. In one embodiment, multiple CCFs are used in a PLC having multiple waveguides, such as in an array waveguide grating.

Figure 3 is a diagram that shows one embodiment of a top view of a PLC 100 having a CCF 110 inserted into a waveguide 120. The CCF 110 comprises a core section 112 and an outer cladding 114. In one embodiment, the CCF 110 is inserted at a mid section of the PLC 100, and the dimensional parameters and refractive indices of the CCF 110 are chosen to ensure single-mode operation and appropriate matching of the fundamental mode shape to that in the waveguide for low-loss operation.

In one embodiment, the CCF 110 acts as a polarization mode converter in the mid section of the PLC 100. An optical signal traverses a first half of the PLC; its polarization is flipped by the mode converter; and then the optical signal traverses the second half of the PLC 100. By placing the CCF 110 at a mid section of the PLC 100, the mode conversion causes the polarization of the optical signal exiting the CCF 110 to be rotated by 90 degrees with respect to the polarization direction of the optical signal entering the CCF 110. Traversing the first half with a first

polarization and traversing the second half of the PLC 100 with a flipped polarization cancels out the polarization sensitivity of the PLC 100.

In one embodiment, a groove 150 is made in the PLC's substrate to allow the insertion of the CCF 110, and an index-matched gel 140 may be inserted at the 5 interfaces of the CCF 110 and the waveguide 120.

The CCF 110 has two principal axes, x and y, or the fast and slow axes. These two principal axes are determined by the crystalline structure of the CCF.

In one embodiment, the CCF is positioned to have one of its principal axes at 45 degrees angle from the plane of the PLC, and the length 160 of the CCF is 10 selected to satisfy the equation:

length=  $(2m+1) * \lambda / (2 * \Delta n)$ , where m is a non-negative integer,  $\lambda$  is a wavelength of an optical signal at an optical communication wavelength, and  $\Delta n$  is a measure of birefringence of the CCF and is equal to  $n_y - n_x$ , or the difference in refractive indices of the y- and x-polarization components along the principal axes. 15 m may be chosen such that the length of the CCF is convenient to work with. In one embodiment,  $\lambda$  may be an optical communication wavelength in the waveband range of approximately 800 nm to 1700 nm, but may be expanded to other future optical communication waveband ranges.

In one embodiment, the CCF comprises any of a variety of crystalline 20 materials, including, but not limited to inorganic substances such as quartz, lithium niobate, lithium borate, beta-barium borate, etc., or organic and polymeric substances. The outer cladding of the CCF may comprise similar or dissimilar material having a slightly lower index of optical refraction than the core material.

Figure 4 is a schematic diagram showing the CCF 110 bonded to a V-groove substrate 200. In one embodiment, the entire V-groove substrate 200 may be inserted into the groove 150 shown in Figure 3. This allows a more convenient and flexible way to handle the CCF 110.

5       The CCF 110 is bonded with its principal axes at a 45 degree angle from the plane of the V-groove substrate 200. Thus, when the V-groove substrate is inserted into the groove 150, the CCF 110 will have the desired orientation.

Figure 5 is a schematic diagram showing a plurality of CCFs 210 inserted into a PLC 230 having multiple waveguides, such as an array waveguide grating. In  
10      one embodiment the CCFs 210 are bonded to a V-groove substrate 200 prior to making a groove 240 in the PLC 230 and inserting the V-groove substrate 200 into the PLC 230. The precision spacing of the V-grooves allows easy alignment of the CCFs 210 to the waveguides of the PLC 230.

Thus, a method and apparatus for reducing the polarization sensitivity of a  
15      planar lightwave circuit is disclosed. However, the specific embodiments and methods described herein are merely illustrative. For example, although some embodiments were described with respect to SOS technology, the embodiments are not limited to that technology. Numerous modifications in form and detail may be made without departing from the scope of the invention as claimed below. The  
20      invention is limited only by the scope of the appended claims.